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Creating and Using SSL Certificates

This document describes how to establish yourself as a root certificate authority (root CA) using the [OpenSSL](#) toolset. As a root CA, you are able to sign and install certificates for use in your Internet server applications, such as [Apache](#) and [Stunnel](#).

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Scope

This document covers a very specific, limited purpose, but one that meets a common need: preventing browser, mail, and other clients from complaining about the certificates installed on your server.

Not covered is dealing with a commercial root certificate authority (CA). Instead, we will become our own root CA, and sign our own certificates.

These procedures were developed using OpenSSL 0.9.6, 24 Sep 2000, on Linux.

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Quick Start

Those who want to start creating certificates right away without reading this whole document should skip to the [summary](#) at the end.

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Background

Why be our own root CA? So that we can take advantage of SSL encryption without spending unnecessary money on having our certificates signed.

A drawback is that browsers will still complain about our site not being trusted until our root certificate is imported. However, once this is done, we are no different from the commercial root CAs.

Clients will only import our root certificate if they trust us. This is where the commercial CAs come in: they purport to do extensive research into the people and organizations for whom they sign certificates. By importing (actually, by the browser vendors incorporating) their trusted root certificates, we are saying that we trust them when they guarantee that someone else is who they say they are. We can trust additional root CAs (like ourselves) by importing their CA certificates.

Note: If you are in the business of running a commercial secure site, obtaining a commercially signed certificate is the only realistic choice.

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Prerequisites

You will need an installed copy of OpenSSL for this, which is available from <http://www.openssl.org>. Chances are it is already installed on your machine. This document will not cover the installation procedure.

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Initial Setup

First, we will create a directory where we can work. It does not matter where this is; I am arbitrarily going to create it in my home directory.

```
# mkdir CA
# cd CA
# mkdir newcerts private
```

The CA directory will contain:

- Our Certificate Authority (CA) certificate
- The database of the certificates that we have signed
- The keys, requests, and certificates we generate

It will also be our working directory when creating or signing certificates.

The CA/newcerts directory will contain:

- A copy of each certificate we sign

The CA/private directory will contain:

- Our CA private key

This key is important:

- Do not lose this key. Without it, you will not be able to sign or renew any certificates.
- Do not disclose this key to anyone. If it is compromised, others will be able to impersonate you.

Our next step is to create a database for the certificates we will sign:

```
# echo '01' >serial
# touch index.txt
```

Rather than use the configuration file that comes with OpenSSL, we are going to create a minimal configuration of our own in this directory. Start your editor (`vi`, `pico`, ...) and create a basic `openssl.cnf`:

```
---Begin---
#
# OpenSSL configuration file.
#
# Establish working directory.
dir                = .
----End----
```

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Creating a Root Certificate

With OpenSSL, a large part of what goes into a certificate depends on the contents of the configuration file, rather than the command line. This is a good thing, because there is a lot to specify.

The configuration file is divided into sections, which are selectively read and processed according to openssl command line arguments. Sections can include one or more other sections by referring to them, which helps to make the configuration file more modular. A name in square brackets (e.g. "[req]") starts each section.

We now need to add the section that controls how certificates are created, and a section to define the type of certificate to create.

The first thing we need to specify is the Distinguished Name. This is the text that identifies the owner of the certificate when it is viewed. It is not directly referenced in the configuration file, but is included into the section processed when certificate requests are created. The command is "openssl req <args>", so the section is titled [req].

Add the following to openssl.cnf:

```
---Begin---

[ req ]
default_bits          = 1024                # Size of keys
default_keyfile       = key.pem             # name of generated keys
default_md            = md5                 # message digest algorithm
string_mask           = nombstr            # permitted characters
distinguished_name    = req_distinguished_name

[ req_distinguished_name ]
# Variable name          Prompt string
#-----
0.organizationName      = Organization Name (company)
organizationalUnitName  = Organizational Unit Name (department, division)
emailAddress            = Email Address
emailAddress_max        = 40
localityName            = Locality Name (city, district)
stateOrProvinceName    = State or Province Name (full name)
countryName             = Country Name (2 letter code)
countryName_min        = 2
countryName_max        = 2
commonName              = Common Name (hostname, IP, or your name)
commonName_max         = 64

# Default values for the above, for consistency and less typing.
# Variable name          Value
#-----
0.organizationName_default = The Sample Company
localityName_default      = Metropolis
stateOrProvinceName_default = New York
countryName_default       = US

[ v3_ca ]
basicConstraints        = CA:TRUE
subjectKeyIdentifier    = hash
authorityKeyIdentifier  = keyid:always,issuer:always

----End----
```

In order to protect ourselves from unauthorized use of our CA certificate, it is passphrase protected. Each time you use the CA certificate to sign a request, you will be prompted for the passphrase. Now would be a good time to pick a secure passphrase and put it in a safe place.

All the preparation is now in place for creating our self-signed root certificate. For this, we want to override some of the defaults we just put into the configuration, so we will specify our overrides on the command line.

Our overrides to the "openssl req" command are:

- Create a new self-signed certificate: -new -x509
- Create a CA certificate: -extensions v3_ca
- Make it valid for more than 30 days: -days 3650
- Write output to specific locations: -keyout, -out
- Use our configuration file: -config ./openssl.cnf

(A note on the term of validity of root certificates: When a root certificate expires, all of the certificates signed with it are no longer valid. To correct this situation, a new root certificate must be created and distributed. Also, all certificates signed with the expired one must be revoked, and re-signed with the new one. As this can be a lot of work, you want to make your root certificate valid for as long as you think you will need it. In this example, we are making it valid for ten years.)

Run the command as shown. In this case, the PEM pass phrase it asks for is a new one, which you must enter twice:

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Creating a Certificate Signing Request (CSR)

Now that we have a root certificate, we can create any number of certificates for installation into our SSL applications such as https, spop, or simap. The procedure involves creating a private key and certificate request, and then signing the request to generate the certificate.

Our configuration file needs some more definitions for creating non-CA certificates. Add the following at the end of the file:

```
---Begin---
[ v3_req ]
basicConstraints      = CA:FALSE
subjectKeyIdentifier = hash
---End---
```

To avoid having to repeatedly put this on the command line, insert the following line to the [req] section after the distinguished_name line as shown:

```
---Begin---
distinguished_name = req_distinguished_name
req_extensions     = v3_req
---End---
```

Now we are ready to create our first certificate request. In this example, we are going to create a certificate for a secure POP server at mail.sample.com. Everything looks the same as when we created the CA certificate, but three of the ensuing prompts get different responses.

- Organizational Unit: a reminder of what the certificate is for
- Email Address: the postmaster
- Common Name: the server hostname

The Common Name must be (or the IP address must resolve to) the server name your clients use to contact your host. If this does not match, every time they connect your clients will get a message asking them if they want to use this server. In effect, the client software is saying, "Warning! You asked for **mail.sample.com**; the responding machine's certificate is for **smtp.sample.com**. Are you sure you want to continue?"

```
# openssl req -new -nodes -out req.pem -config ./openssl.cnf
...
Organizational Unit Name (department, division) []:Mail Server
Email Address []:postmaster@sample.com
Common Name (hostname, IP, or your name) []:mail.sample.com
...
```

This process produces two files as output:

- A private key in **key.pem**
- A certificate signing request in **req.pem**

These files should be kept. When the certificate you are about to create expires, the request can be used again to create a new certificate with a new expiry date. The private key is of course necessary for SSL encryption. When you save these files, meaningful names will help; for example, mailserver.key.pem and mailserver.req.pem.

The certificate signing request looks like this:

```
-----BEGIN CERTIFICATE REQUEST-----
MIICJCCAY0CAQAwgagxGzAZBgNVBAoTElRozSBTYW1wbGUgQ29tcGFueTEUMBIG
A1UECXMlTWVpYCBTZXJ2ZXIuJDAiBglkqkig9w0BCQEWFXBvc3RtYXN0ZXJAc2Ft
cGx1LmNvbTEtMBEGA1UEBXMKTWV0cm9wb2xpczERMA8GA1UECBMlTmV3IFlvcmV3
CzAJBgNVBAYTAlVTRGwFgYDVQQDEW9tYXN0ZXJAc2FtYXN0ZXJAc2FtYXN0ZXJAc2Ft
hvcNAQEBBQADgY0AMIGJAoGBAPJhc++WxcBaoDbJpzFbDg42NcOz/ELVFMU4F1Pa
yUzUO+xXkdFRMPKo54d4Pf1w575Jh1u91E+kJ8QN2st6JFySbc9QjPwVw19D2+I3
Ssf2kVTu+2Ur5izCPbVAFU0rPZxxK8ELOkA1uwwjFz6EFuVvnHw1guonWKDtmYW
u7KTAGMBAAGgOzA5Bglkqkig9w0BCQ4xLDAqMAKGA1UdEwQCMAAwHQYDVR0OBBYE
FLwaQsUVIQzWr58HtDinH1JfeCheMA0GCSqGSIb3DQEBAUAA4GBAAbe0jrGEQ3i
tyVfy5Lg4/f69rKvDGs+uhZJ9ZRx7D192Qq2ose7XrLB1bANmcoEv/ORLZOjWZEY
NjMvuz6007R8GKBrvb/YhAwWhIIt2LJqPkPAEWS0kY0AkoQcEz7h6oC35+eJ7okp
Uu3WuE57RgcNt7/ftr0sG1jUyRwMLvhw
-----END CERTIFICATE REQUEST-----
```

We can view the contents to make sure our request is correct:

```
# openssl req -in req.pem -text -verify -noout
```

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Signing a Certificate

Now we need to add the configuration file section that deals with being a Certificate Authority. This section will identify the paths to the various pieces, such as the database, the CA certificate, and the private key. It also provides some basic default values. Insert the following into openssl.cnf just before the [req] section:

```
---Begin---
[ ca ]
default_ca          = CA_default

[ CA_default ]
serial              = $dir/serial
database            = $dir/index.txt
new_certs_dir       = $dir/newcerts
certificate          = $dir/cacert.pem
private_key         = $dir/private/cakey.pem
default_days       = 365
default_md          = md5
preserve           = no
email_in_dn        = no
nameopt            = default_ca
certopt            = default_ca
policy             = policy_match

[ policy_match ]
countryName        = match
stateOrProvinceName = match
organizationName   = match
organizationalUnitName = optional
commonName         = supplied
emailAddress       = optional

----End----
```

To sign the request we made in the previous step, execute the following and respond to the prompts. Note that you are asked for the PEM passphrase selected earlier:

```
# openssl ca -out cert.pem -config ./openssl.cnf -infiles req.pem
Using configuration from ./openssl.cnf
Enter PEM pass phrase:demo
Check that the request matches the signature
Signature ok
The Subjects Distinguished Name is as follows
organizationName      :PRINTABLE:'The Sample Company'
organizationalUnitName:PRINTABLE:'Mail Server'
emailAddress          :IA5STRING:'postmaster@sample.com'
localityName          :PRINTABLE:'Metropolis'
stateOrProvinceName   :PRINTABLE:'New York'
countryName           :PRINTABLE:'US'
commonName            :PRINTABLE:'mail.sample.com'
Certificate is to be certified until Dec  8 04:37:38 2002 GMT (365 days)
Sign the certificate? [y/n]:y

1 out of 1 certificate requests certified, commit? [y/n]:y
Write out database with 1 new entries
Data Base Updated
```

This process updates the CA database, and produces two files as output:

- A certificate in **cert.pem**
- A copy of the certificate in **newcerts/<serial>.pem**

Again, you can inspect the certificate:

```
# openssl x509 -in cert.pem -noout -text -purpose | more
```

The certificate has both the encoded version and a human-readable version in the same file. You can strip off the human-readable portion as follows:

```
# mv cert.pem tmp.pem
# openssl x509 -in tmp.pem -out cert.pem
```

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Installing the Certificate and Key

This depends on the application. Some want the key and the certificate in the same file, and others want them separately. Combining them is easily done with:

```
# cat key.pem cert.pem >key-cert.pem
```

After this step, you have three installable components to choose from:

- A private key in **key.pem**
- A certificate in **cert.pem**
- A combined private key and certificate in **key-cert.pem**

Copy the appropriate files into the locations specified by the instructions for your application and system. Restart the applications, and you are in operation with your new certificate.

Apache

Apache has separate configuration directives for the key and the certificate, so we keep each in its own file. These files should be kept outside of the DocumentRoot subtree, so a reasonable directory structure might be:

File	Comment
/home/httpd/html	Apache DocumentRoot
/home/httpd/ssl	SSL-related files
/home/httpd/ssl/cert.pem	Site certificate
/home/httpd/ssl/key.pem	Site private key

Within the <VirtualHost> directive for the site (which of course should be on port 443), include the directives that point to these files:

```
<VirtualHost 192.168.1.1:443>
  ServerName mail.sample.com
  DocumentRoot /home/httpd/html
  ... other directives for this site ...
  SSLEngine on
  SSLLog /var/log/ssl_engine_log
  SSLCertificateFile /home/httpd/ssl/cert.pem
  SSLCertificateKeyFile /home/httpd/ssl/key.pem
</VirtualHost>
```

Stunnel

stunnel is used as an SSL wrapper for normal non-secure services such as IMAP and POP. It accepts arguments (among other things) the service to execute, and the location of the certificate and private key.

The key and the certificate are provided in the same file. These can go anywhere, but a good location might be `/etc/ssl/certs`. Specify it on the stunnel command line as follows:

```
stunnel -p /etc/ssl/certs/key-cert.pem <other stunnel args...>
```

More to come...

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Distributing the CA Certificate

This, finally, is the step that stops the clients from complaining about untrusted certificates. Send **cacert.pem** to anyone who is going to use your secure servers, so they can install it in their browsers, mail clients, et cetera as a root certificate.

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Renewing Certificates

Your certificate chain can break due to certificate expiry in two ways:

- The certificates you signed with your root certificate have expired.
- Your root certificate itself has expired.

In the second case, you have some work to do. A new root CA certificate must be created and distributed, and then your existing certificates must be recreated or re-signed.

In the first case, you have two options. You can either generate new certificate signing requests and sign them as described above, or (if you kept them) you can re-sign the original requests. In either case, the old certificates must be revoked, and then the new certificates signed and installed into your secure applications as described earlier.

You cannot issue two certificates with the same Common Name, which is why the expired certificates must be revoked. The certificate is in the newcerts directory; you can determine its filename by browsing index.txt and searching for the Common Name (CN) on it. The filename is the index plus the extension ".pem", for example "02.pem". To revoke a certificate:

```
# openssl ca -revoke newcerts/02.pem -config ./openssl.cnf
Using configuration from ./openssl.cnf
Enter PEM pass phrase: demo
Revoking Certificate 02.
Data Base Updated
```

Now that the certificate has been revoked, you can re-sign the original request, or create and sign a new one as described above.

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Getting a Commercially Signed Certificate

The process is basically the same as the one just demonstrated, but the CA does most of it. You need to generate a Certificate Signing Request as shown above, and then submit it for signing. You will receive a signed certificate for installation.

This certificate will automatically be trusted by your client's browser, as the browser has the commercial CA's certificate built in. There is no need to distribute anything.

The configuration described here may be inadequate for this purpose, as there is much more that can go into a request. Different certificate authorities require different features in the certificate signing request, none of which we have gone into here. This additional material is beyond the current scope of this document.

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Publishing Your CA Certificate

You can post the certificate on your web site for download. If you do this, you should also post a Certificate Revocation List (CRL), and a means of displaying a certificate given its serial number. This is outside the current scope of this document.

Apache will serve your certificate in a form recognizable to browsers if you specify its MIME type. For example, you can use the filename extension ".crt" for downloadable certificates, and put the following into the general section of your Apache configuration:

```
AddType application/x-x509-ca-cert .crt
```

Now you can post the certificate for download with a link like `Our Root Certificate`, and when the link is followed the visitor's browser would offer to install the certificate.

The CRL can be created as follows:

```
# openssl ca -gencrl -crl days 31 -config ./openssl.cnf -out rootca.crl
```

More to come...

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Summary

You now have enough information to create and sign certificates on your own behalf. While this is a fairly long document, the procedure can be summarized easily.

One-Time Setup

Set up, and create a root CA certificate.

Commands

```
# mkdir CA
# cd CA
# mkdir newcerts private
# echo '01' >serial
# touch index.txt
# (IMPORTANT: Install and edit the configuration file shown below.)
# openssl req -new -x509 -extensions v3_ca -keyout private/cakey.pem \
-out cacert.pem -days 365 -config ./openssl.cnf
```

Output

File	Purpose
cacert.pem	CA certificate
private/cakey.pem	CA private key

Distribute **cacert.pem** to your clients.

Per Certificate

Create certificate signing requests and sign them, supplying appropriate values for the Common Name and the Organizational Unit.

Commands

```
# openssl req -new -nodes -out req.pem -config ./openssl.cnf
# openssl ca -out cert.pem -config ./openssl.cnf -infiles req.pem
# cat key.pem cert.pem >key-cert.pem
```

Output

File	Purpose
key.pem	Private key
req.pem	Certificate signing request
cert.pem	Certificate
key-cert.pem	Combined private key and certificate

Install **key.pem** and **cert.pem**, or just **key-cert.pem** as appropriate for your server application.

Per Certificate - Renewal

Revoke the expired certificate, and re-sign the original request.

Commands

```
# openssl ca -revoke newcerts/<serial>.pem -config ./openssl.cnf
# openssl ca -out cert.pem -config ./openssl.cnf -infiles req.pem
```

Install the renewed certificates in the same manner as the original ones.

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Configuration File

(This file is available for [download](#).)

```
---Begin---
#
# OpenSSL configuration file.
#
# Establish working directory.

dir                = .

[ ca ]
default_ca         = CA_default

[ CA_default ]
serial             = $dir/serial
database           = $dir/index.txt
new_certs_dir      = $dir/newcerts
certificate         = $dir/cacert.pem
private_key        = $dir/private/cakey.pem
default_days       = 365
default_md         = md5
preserve          = no
email_in_dn        = no
nameopt            = default_ca
certopt            = default_ca
policy             = policy_match

[ policy_match ]
countryName        = match
stateOrProvinceName = match
organizationName   = match
organizationalUnitName = optional
commonName         = supplied
emailAddress        = optional

[ req ]
default_bits       = 1024                # Size of keys
default_keyfile    = key.pem             # name of generated keys
default_md         = md5                 # message digest algorithm
string_mask        = nombstr             # permitted characters
distinguished_name = req_distinguished_name
req_extensions     = v3_req

[ req_distinguished_name ]
# Variable name          Prompt string
#-----
0.organizationName      = Organization Name (company)
organizationalUnitName  = Organizational Unit Name (department, division)
emailAddress             = Email Address
emailAddress_max         = 40
localityName             = Locality Name (city, district)
stateOrProvinceName     = State or Province Name (full name)
countryName              = Country Name (2 letter code)
countryName_min         = 2
countryName_max         = 2
commonName               = Common Name (hostname, IP, or your name)
commonName_max          = 64

# Default values for the above, for consistency and less typing.
# Variable name          Value
#-----
0.organizationName_default = The Sample Company
localityName_default      = Metropolis
stateOrProvinceName_default = New York
countryName_default       = US

[ v3_ca ]
basicConstraints          = CA:TRUE
subjectKeyIdentifier      = hash
authorityKeyIdentifier    = keyid:always,issuer:always

[ v3_req ]
basicConstraints          = CA:FALSE
subjectKeyIdentifier      = hash

----End----
```

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References

More information is available at the following sites (opens in new window):

- [OpenSSL Home Page](#)
- [OpenSSL Documentation](#)
- [OpenSSL FAQ](#)
- [Nick Burch's Certificate Management and Installation with OpenSSL](#)
- [Franck Martin's SSL Certificates HOWTO](#)

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